

FORM PTO-1390  
(Rev 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

ZAHFRI P784US

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/E/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37 C.F.R.1.5)

10/552,597

INTERNATIONAL APPLICATION NO.

PCT/EP2004/003487

INTERNATIONAL FILING DATE

April 2, 2004

PRIORITY DATE CLAIMED

April 7, 2003

TITLE OF INVENTION

MULTI-STAGE AUTOMATIC TRANSMISSION

APPLICANT(S) FOR DO/E/US

Peter ZIEMER

Applicant herewith submits to the United States Designated/Elected Office (DO/E/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ has been transmitted by the International Bureau. (PCT/IB/308 mailed)
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ A SIGNED oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO/SB/08a/08b.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☐ A FIRST preliminary amendment.  
☒ A SECOND preliminary amendment w/1 amended & 1 formal drawing;
  14. ☒ A substitute specification w/Marked-Up Version of Amended Specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:
 

<input type="checkbox"/> Preliminary Examination Report <input type="checkbox"/> Annexes to Pre. Ex. Rep. <input type="checkbox"/> International Search Report <input type="checkbox"/> German Novelty Search Report <input type="checkbox"/> ___ copies of citations <input type="checkbox"/> Form PCT/IB/308 <input type="checkbox"/> International Publ. No. (Face page only)	<input type="checkbox"/> Copy of Request <input type="checkbox"/> Submission of Formal Drawings <input type="checkbox"/> ___ sheets of formal drawings <input checked="" type="checkbox"/> New Abstract <input type="checkbox"/> Applicant Claims Small Entity Status <input checked="" type="checkbox"/> Submission of Missing Parts of Application <input type="checkbox"/> German Language Specification
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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date **December 13, 2005** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV 622272792 US** addressed to the: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Michael J. BUJOLD

(typed or printed name of person mailing paper)

(signature of person mailing paper)

17. ■ The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<b>Basic National Fee (37 CFR 1.492(a)(5):</b> Search Report has been prepared by the EPO or JPO ..... \$1000.00 International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(1) .. \$750.00 No international preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(2) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). ..... \$790.00 Neither international preliminary examination fee (37 CFR 1.492)(a)(3) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,110.00 International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(4) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				0	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				130	
Claims	Number Filed	Number Extra	Rate		
Total Claims	23 - 20 =	3	x \$ 50.00	150	
Independent Claims	2 - 3 =	0	x \$200.00	0	
Multiple dependent claim(s) (if applicable)			+ \$360.00	0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				280	
Reduction by 1/2 for filing by small entity, if applicable. <b>Applicant Claims Small Entity Status.</b> (Note 37 CFR 1.9, 1.27, 1.28).				0	
<b>SUBTOTAL =</b>				280	
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				130	
<b>TOTAL NATIONAL FEE =</b>				410	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				0	
<b>TOTAL FEES ENCLOSED =</b>				410	
				Amount to be: refunded	\$
				charged	\$
<p>a. ■ A check in the amount of \$ <b>410.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>04-0213</u> in the amount of \$_____ to cover the above fees.            A duplicate copy of this sheet is enclosed.</p> <p>c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to            Deposit Account No. <u>04-0213</u>. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 40%;"> <p>12/19/2005 GFREY1 00000144 10552597</p> <p>01 FC:1617 130.00 OP            02 FC:1615 150.00 OP            03 FC:1618 130.00 OP</p> </div> <div style="width: 55%; text-align: center;"> <p>Michael J. BUJOLD -- Registration No. 32,018  <b>CUSTOMER NO. 020210</b>            Davis &amp; Bujold, P.L.L.C.            Fourth Floor            500 North Commercial Street            Manchester, NH 03101-1151            Telephone (603) 624-9220            Telefax (603) 624-9229</p> </div> </div>					

12/13/05

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Peter ZIEMER  
Serial no. : 10/552,597  
Filed : with an effective filing date of April 2, 2004  
For : MULTI-STAGE AUTOMATIC TRANSMISSION  
Docket : ZAHFRI P784US

**MAIL STOP MISSING PARTS**

The Commissioner for Patents  
U.S. Patent & Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Further to the filing of this application, a Declaration and Power of Attorney, an English translation of the International Application, a second Preliminary Amendment and a new Abstract are attached. Also attached, please find our firm's check in the amount of \$410, \$130 of which covers the surcharge for late submission of the Declaration and Power of Attorney, \$130 of which covers the surcharge on the late submission of the English translation and \$150 of which covers three (3) claims in excess of twenty (20). The undersigned hereby states that the accompanying English translation is accurate.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



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